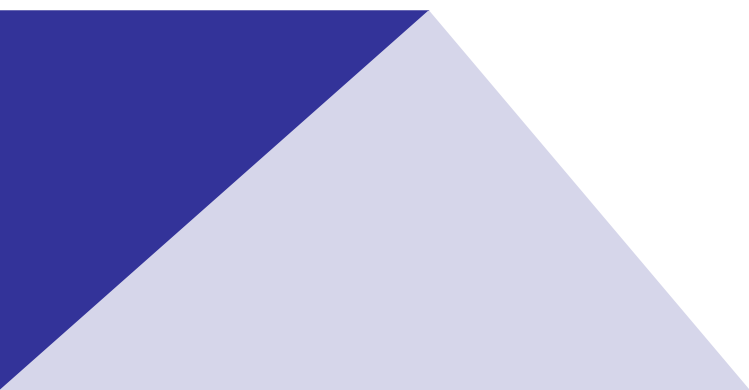


# GRANTS MANAGEMENT OVERVIEW

## STATE OFFICES OF RURAL HEALTH NEW SORH DIRECTORS ORIENTATION: HRSA GRANTS MANAGEMENT OVERVIEW APRIL 29, 2021



# TODAY'S TOPICS

- Overview and Roles
  - Review of the Notice of Award
  - Accessing Funds
  - Federal Financial Report
  - Prior Approval Request
  - Resources
- 

# GRANT MANAGEMENT RESPONSIBILITIES

DGMO STAFF WORK IN CONCERT WITH RESPECTIVE PROGRAM OFFICIALS TO PROVIDE THE NFE BUSINESS MANAGEMENT ASSISTANCE IN MANAGING THEIR FEDERAL AWARDS.

PROVIDES CLARIFICATION ON GRANTS REGULATIONS AND FINANCIAL ASPECTS OF THE PROJECT INCLUDING BUDGETARY MATTERS.

MONITORS COMPLIANCE WITH GRANT REQUIREMENTS AND COST POLICIES

MONITORS RECEIPT OF ALL REQUIRED REPORTS AND FOLLOW-UP AS NECESSARY TO OBTAIN DELINQUENT REPORTS



# MEET THE TEAM

**Lead Grants Management Specialist for SORH: Ms. Kimberly Dews**

**Grants Management Specialists:**

**Mr. James Padgett**

**Ms. Bria Haley**

**Mr. Potie Pettway**

**Ms. Jessica Sanders**

**Mr. Benjamin White**

**The GMS is responsible for all financial and administrative aspects:**

- creation and issuance of NOAs**
- recommendations on continued Federal support,**
- monitor compliance with award requirements and cost policies,**
- determination of prior approval requests and other NFE issues.**

# IMPORTANT NFE ROLES

**1. Organization Roles – Initially chosen and established during the organizations registration process**

**Authorizing Official:** Individual authorized to act on behalf of the applicant; assumes the obligations imposed by the Federal laws, regulations, requirements, and conditions that apply to applications or federal awards.

**Business Official:** Individual authorized to review and submit the financial report, and interact with the payment management system.

**Other:** All other individuals of an organization who participate in the electronic process should register using this role. HRSA's electronic process allows for additional functional roles such as Application Preparer, Communication Contact etc.

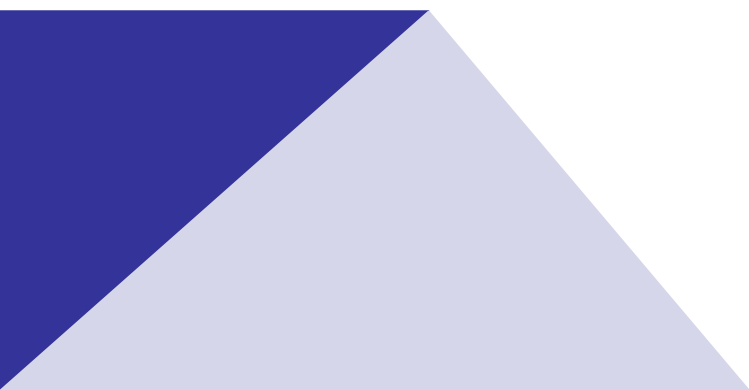
# IMPORTANT NFE ROLES CONTINUED

**Program Director (PD):** The individual who is most closely tied to programmatic aspects of the award. The PD's name appears on the Notice of Award (NoA).

- Responsible for approving EHB privileges for other individuals in the organization.

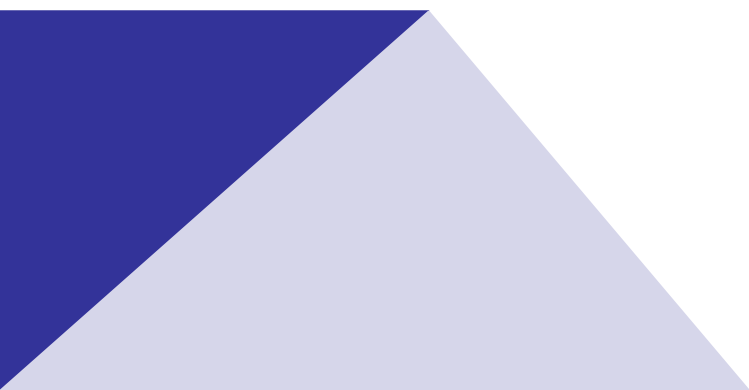
**Financial Reporting Administrator (FRA):** Individual responsible for financial reporting for the organizations grants. Typically, the organizations Business Official. Registers the grant with the Payment Management System.

- Permissions: Financial reports and PMS interactions.
- Responsible for approving EHB privileges to financial reports for other individuals in the organization.



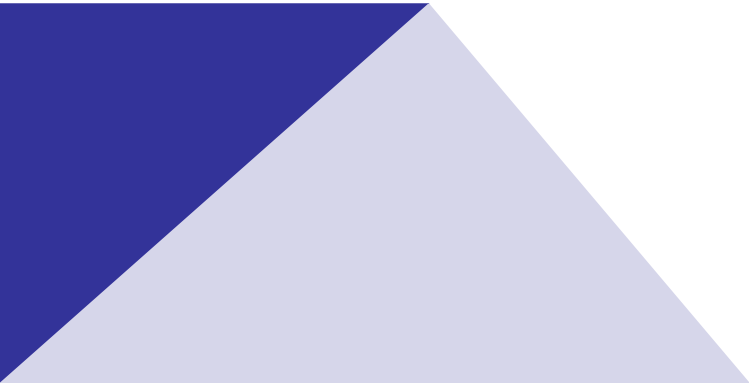
# IMPORTANT NFE ROLES

HRSA recommends each award NFE identify 3 individual with the roles of:

- Program Director
  - Business Official
  - Authorizing Official
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# NFE RESPONSIBILITIES

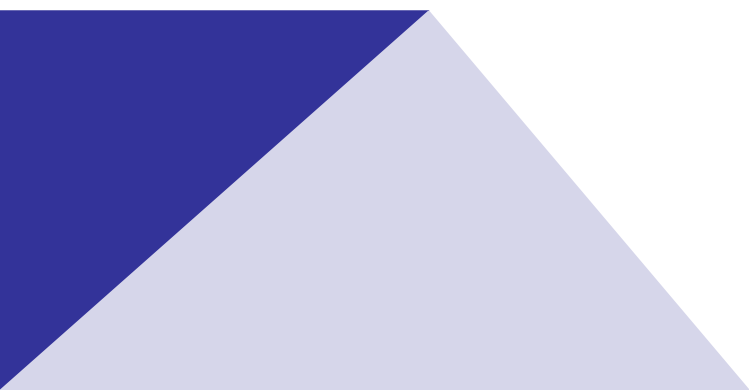
NFE's of Federal funds are responsible for:

1. Project performance – Assure that approved project goals and objectives are achieved in an efficient and timely manner
  2. Compliance with all federal laws and regulations
  3. Timely respond to all Conditions of award and abide by all Terms
  4. Manage your Payment Management System account by completing regular draws of funds that correlate to award expenditures and submitting quarterly disbursement reports,.
  5. Ensure that key project staff attend and participate in HRSA sponsored workshops
- 



# REVIEW OF NOA – CONDITIONS AND TERMS OF AWARD

## **Conditions of Award: Extremely important –**

- **Requires Action/Response by specific deadline; usually tied to the NOA issuance date**
  - **Response must be submitted via the Electronic Handbook (EHB)**
  - **Review by HRSA; approval will occur via issuance of a revised NoA**
  - **Resulting NoA will contain language specifically addressing the status of the condition**
- 

# REPORTING REQUIREMENTS

The NFE must submit an annual Federal Financial Report (FFR). The report should reflect cumulative reporting within the project period and must be submitted using the Payment Management System (PMS)

Please refer to the chart below for the specific due date for your FFR:

Budget Period ends August – October: FFR due January 30

Budget Period ends November – January: FFR due April 30

Budget Period ends February – April: FFR due July 30

**Budget Period ends May – July: FFR due October 30, 2021**

Failure to comply with these reporting requirements will result in deferral or additional restrictions of future funding decisions.



# **PAYMENT MANAGEMENT SYSTEM (PMS)**

**PMS is a centralized payment and cash management system for Federal awards that manages payment requests, drawdowns, and disbursement reporting activities.**

**PMS, acts as the in-between awarding agencies and NFE's by:**

- 1. Expediting the flow of cash between the Federal government and NFE**
- 2. Transmitting NFE's disbursement data back to the awarding agencies**
- 3. Managing cash flow advances to NFE**

**PMS Personal Identification Number (PIN) is required to access your account**

**NFE's are required to:**

- Complete regular draws of funds correlating to award expenditures,**
- Submitting quarterly disbursement reports.**

# COMMON PRIOR APPROVAL REQUEST (PAR)

**CARRYOVER** - Requests to carry over unobligated funds from the prior budget period into the current budget period.

**Requires:** The SF-425 Federal Financial Report (FFR) for the appropriate budget period must be submitted in PMS.

**PAR Must include:**

- amount of the unobligated funds,
- project activities for which the funds were originally awarded,
- reason these activities were not completed within the budget period in which the funds were awarded,
- proposed activities; must be within the previously approved goals and objectives of the program
- a SF424-A line item budget for the proposed activities with narrative justification may not be used for new activities (outside the scope of the original grant)

**-Requests must be fully justified and not in order to spend down available unobligated funds**

**-NFE are notified of approved carryover requests by a Revised NOA.**

# COMMON PRIOR APPROVAL REQUEST (PAR)

- **Budget Revisions - For budget changes of more than 25% of total budget**
  - Cover letter explaining the need for the budget revision
  - Requires SF424-A, reflecting the revised line item budget and narrative justification
- **Change in Project Director (PD) – Position is vacant, project director is absent for more than 3 months or a 25% reduction in time devoted to project**
  - Requires PAR from Authorized Representative
  - Resume/CV for proposed staff
  - Contact information, e.g. email, current address and telephone number.

# PRIOR APPROVAL PROCEDURES

**Prior approval requests must be submitted through the EHB Prior Approval section**

**Again, after review by the PO and GMS, approval is official only when a revised Notice of Award is issued reflecting the authorization.**

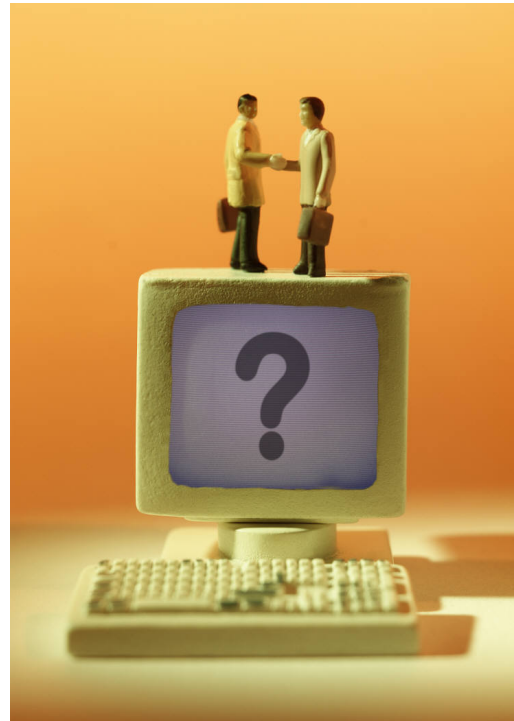
# BUDGET MODIFICATION POST AWARD CHANGES

## **Rebudgetting:**

***Funds may be revised within and between approved budget line items up to 25% of the total approved budget amount.***

***Rebudgetting exceeding the 25% limit is consider significant re-budgeting and requires a prior approval request submitted in the Electronic Handbooks (EHB).***

QUESTIONS?





# INFORMATION RESOURCES

- Payment Management System (PMS) <http://www.dpm.psc.gov>

Questions on the Payment Management System:

E-Mail: [PMSSupport@psc.gov](mailto:PMSSupport@psc.gov) 877-614-5533

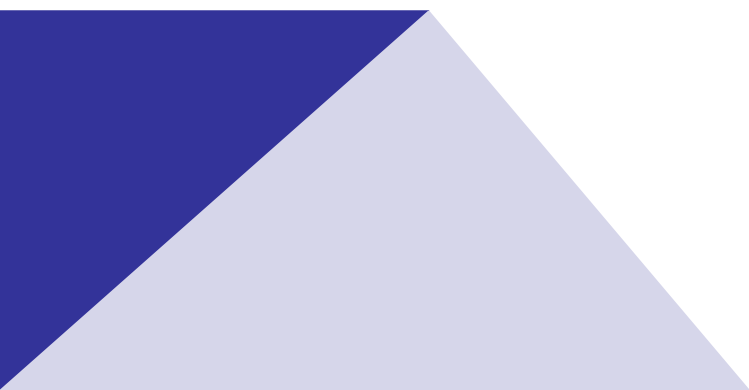
- HRSA Contact Center - Help desk regarding all EHB Information Technology (IT) issues-

Providing step-by-step instructions for navigating all areas of the EHB system

Assisting with internal and external EHB IT issues

## FFR instructions in EHB:

[www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport](http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport)



# GRANTS MANAGEMENT CONTACT INFORMATION

## Grants Management Specialist identified on the Notice of Award

### Questions related to this presentation:

Lead Grants Management Specialist for the SORH Program

Ms. Kim Dews [Kdews@hrsa.gov](mailto:Kdews@hrsa.gov); 301-443-0655